

Stellar Reaction Rates

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CNT lectures on Special Topics in Nuclear Astrophysics

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Plan of lecture I

- I. Introduction : Nuclear astrophysics & Nuclei in the Cosmos
- II. Nuclear reaction cross sections:
 - Definitions
 - Quantum tunneling,
 - Astrophysical S-factor
 - Reaction mechanisms (non-resonant & resonant processes)
- III. Thermonuclear reaction ratesO Definitions

Text books

J. Audouze and S. Vauclair

An introduction to Nuclear Astrophysics D. Reidel Publishing Company, Dordrecth, 1980

D.D. Clayton

Principles of stellar evolution and nucleosynthesis The University of Chicago Press, 1983

C.E. Rolfs and W.S. Rodney Cauldrons in the Cosmos Thee University of Chicago Press, 1988 (...the "Bible")

C. Illiadis

Nuclear Physics of Stars Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim, 2007



NUCLEAR ASTROPHYSICS

Nuclear astrophysics is the science which addresses some of the most compelling questions in nature:

- ➢ How do stars form and evolve?
 - ➤ What powers the stars?
- > What is the origin of the chemical elements present in our Universe?
 - Which nucleosynthesis processes are responsible of the observed solar abundances?

Introduction:

Nuclei in the Cosmos

Abundance curve of the elements:



Data sources:

Earth, Moon, meteorites, solar & stellar spectra, cosmic rays...

Characteristics:

- 12 orders-of-magnitude span - H ~ 75% - He ~ 23% $-C \rightarrow U \sim 2\%$ ("metals") - D, Li, Be, B under-abundant - O the 3rd most abundant - C the 4th most abundant - exponential decrease up to Fe - peak near Fe - nearly flat distribution beyond Fe with some peaks

Introduction:

The answer to all the questions concerning the stars and the origin of the nuclei in the cosmos is given by the interaction of three fields:



Introduction:

Nuclei in the Cosmos

Nucleosynthesis: When and where?

≻H, D, He, ⁷Li[#]
→ primordial nucleosynthesis
(Big-Bang) (A. Coc lecture)

≻Li[#], Be, B

→ Cosmic ray spallation in Inter-Stellar Medium (ISM) : heavier and abondant nuclei (CNO) broken by interaction with p or α particle (A. Coc lecture)

> ≻C, N, O ..., Fe, ... Pb,...
> →in star (calm & explosive) (A. Coc lecture)



From nuclear physics to abundances

Improving the knowledge of the nucleosynthesis processes at work in the universe & the understanding of stellar evolution



Definitions



• Cross section of the reaction $1 + 2 \rightarrow 3 + 4$ [notation 1(2,3)4]:

 $\sigma(cm^2) = \frac{Number of reactions/second}{(Number of incident particles/cm^2/second)(number of target nuclei within the beam)}$

= surface presented by 1 to the projectile 2 for a given reaction

• <u>"Billiard-type" description of the cross section</u>:

 $\sigma = \pi (R_1 + R_2)^2$ with the nuclear radius $R_N \approx 1.3A^{1/3}$ fm (10⁻¹³ cm)

 $\Rightarrow \sigma(^{1}H^{+1}H) = 0.2 \times 10^{-24} \text{ cm}^{2}, \sigma(^{238}U^{+238}U) = 4.8 \times 10^{-24} \text{ cm}^{2}$

 \Rightarrow unit of nuclear cross sections: 1 barn (b) = 10⁻²⁴ cm²

Nuclear reaction cross sections: The maximum cross-section

• <u>Quantum description</u> of the maximum reaction cross section:

$$\sigma_{\max} = (2l+1)\pi \lambda^2$$
 where $\lambda = \frac{\hbar}{\sqrt{2\mu E}} = \frac{m_1 + m_2}{m_1} \frac{\hbar}{\sqrt{2m_2 E_2}}$

is the de Broglie wavelength, *E* the total kinetic energy in the <u>centre-of-mass system of reference</u>, and $\mu = (m_1 m_2)/(m_1 + m_2)$ the reduced mass. Note that $\sigma_{\text{max}} \propto 1/E$

The statistical factor (2l+1) corresponds to the number of eigenstates of the system 1 + 2 of angular momentum L (l is the orbital quantum number)

- $\sigma < \sigma_{max}$ in part. because of the centrifugal and Coulomb barriers
- <u>Centrifugal barrier</u>: energy needed to move closer 1 and 2 to a distance r given the orbital angular momentum L (classical mech.)

$$V_{\text{cent}}(r) = \frac{\left\|L\right\|^2}{2\mu r^2} \Longrightarrow V_{\text{cent}}(r) = \frac{l(l+1)\hbar^2}{2\mu r^2} \ l(l+1)\hbar: \text{ eigenvalues of } L^2$$



• In a reaction between charged nuclei (atomic numbers Z_1, Z_2)

The Coulomb barrier

$$V_{\text{coul}}(r) = \frac{Z_1 Z_2 e^2}{r} = \frac{1.44 Z_1 Z_2}{r \text{ (in fm)}} \text{ MeV}$$

• In stars, $T_C \sim 10^7 - 10^9 \text{ K}$

$$\Rightarrow kT_{\rm C} \sim 1-100 \text{ keV} < V_{\rm coul}(R_N)$$

⇒ penetration of the Coulomb barrier by the "tunnel effect" (quantum mechanic effect)

The tunnel effect (1)

• <u>Square-barrier potential with l = 0</u> The radial wave functions $\phi(r)$ (1D) are solution of the time-independent Schrödinger equation:

$$\left[-\frac{\hbar^2}{2m}\frac{d^2}{dr^2} + V\right]\phi(r) = E\phi(r)$$

$$\Rightarrow \phi_{III}(r) = Fe^{ikr} + Ge^{-ikr} \text{ with } k^2 = \frac{2\mu}{\hbar^2} E$$



$$\Rightarrow \phi_{II}(r) = Ce^{-\kappa r} + De^{\kappa r} \text{ with } \kappa^2 = \frac{2\mu}{\hbar^2} (V_1 - E) \quad \Leftarrow \text{ vanishing waves}$$
$$\Rightarrow \phi_I(r) = Ae^{iKr} + Be^{-iKr} \text{ with } K^2 = \frac{2\mu}{\hbar^2} (E + V_0) \quad \Leftarrow \text{ plane waves}$$

• Wave function matching conditions at the boundaries:

• Transmission coefficient of the barrier:

$$T = \frac{j_{\text{trans}}}{j_{\text{inc}}} = \frac{K|B|^2}{k|G|^2}$$

The tunnel effect (2)

given the incident and transmitted current densities (or fluxes)

$$j_{\text{inc}} = v_{III} |G|^2 = \frac{\hbar k}{\mu} |G|^2 \quad \text{and} \quad j_{\text{trans}} = v_I |B|^2 = \frac{\hbar K}{\mu} |B|^2$$

We finally obtain:
$$T \approx \exp\left[-(2/\hbar)\sqrt{2\mu(V_1 - E)}(R_1 - R_0)\right]$$

• <u>Numerical application</u>: p + p interaction at E = 100 keV

 $R_0 = 1.3 \times 2 = 2.6 \text{ fm}, R_1 \equiv R_C = 14.4 \text{ fm}, V_1 \equiv V_{\text{coul}} = 550 \text{ keV} \Rightarrow T = 9\%$

With $V_1 = 36.8$ MeV corresponding to V_{cent} for $l = 2 \implies T = 2 \times 10^{-10}$

The tunnel effect (3)

• Transmission coefficient of the Coulomb barrier



The astrophysical S-factor



Consider reaction: $a + X \rightarrow b + Y$

(b = particle or photon)

Non-resonant process

<u>One-step</u> process leading to final nucleus Y $\sigma \propto | < b+Y | H | a+X > |^2$ single matrix element

occurs at all interaction energies

cross section has relatively <u>WEAK energy dependence</u>

Resonant process

Two-step process: 1) compound nucleus formation $a + X \rightarrow C^*$ 2) decay of compound nucleus $C^* \rightarrow b + Y$

$$\sigma \propto | < b+Y | H' | C^* > |^2 | < C^* | H | a+X > |^2$$

two matrix elements

occurs at specific energies
 cross section has <u>STRONG energy dependence</u>

Nuclear resonances

• <u>A simple case</u>: ${}^{12}C(p,\gamma){}^{13}N$



- <u>Reaction Q-value</u> (Δ =mass excess):
- $Q = \Delta({}^{12}C) + \Delta(p) \Delta({}^{13}N) = 1.943 \text{ MeV}$
- $E_R = 2365 1943 = \underline{422 \text{ keV}}$

•
$$J_R = J({}^{12}C) + J(p) + L = 1/2, (-1)^l = 1$$

• $\Rightarrow l = 0$

- <u>Energy profile of excited nuclear states</u>:
 - Time-dependent wave function: $\psi(t) = \psi(0) \exp\left(-\frac{i}{\hbar}E_R t\right) \times \exp\left(-\frac{t}{2\tau}\right)$ where τ is the mean lifetime of the excited state
 - The wave function as a function of energy is obtained by the Fourier transform (conjugate variables): $\varphi(E) = \int_0^\infty \psi(t) \exp\left(\frac{i}{\hbar}Et\right) dt$

 $\Gamma = \frac{\hbar}{-}$

- The probability distribution is then:

$$f_R(E) = \left|\varphi(E)\right|^2 = \frac{\hbar}{2\pi\tau} \frac{1}{\left(E - E_R\right)^2 + \left(\frac{\hbar}{2\tau}\right)^2}$$

= Breit-Wigner profile (Cauchy-Lorentz distribution)

• Full width at half maximum:

• Partial width (energy units): $\Gamma_a = \hbar \lambda_a$ where λ_a is the probability per second that the decay particle $a (\equiv p, n, \alpha, \beta...)$ crosses an imaginary sphere at the distance $r \rightarrow \infty$:

$$\lambda_{a} = \lim_{r \to \infty} v \iint_{\theta, \phi} |\psi(\mathbf{r}, \theta, \Phi)|^{2} r^{2} \sin \theta d\theta d\Phi$$
$$\lambda_{a} = \lim_{r \to \infty} v \iint_{\theta, \phi} \left| \frac{\phi_{l}(r)}{r} \right|^{2} |Y_{l}^{m}(\theta, \phi)|^{2} r^{2} \sin \theta d\theta d\phi = v |\phi_{l}(\infty)|^{2}$$

v being the relative velocity and $Y_l^m(\theta, \Phi)$ the spherical harmonics With the penetration factor for the Coulomb and centrifugal barriers:

$$P_l(E, R_N) = \frac{\left|\phi_l(\infty)\right|^2}{\left|\phi_l(R_N)\right|^2} \implies \Gamma_a = \hbar \sqrt{\frac{2E}{\mu}} P_l(E, R_N) \left|\phi_l(R_N)\right|^2$$

 $|\Phi_l(R_N)|^2 = |\phi_l(R_N)/R_N|^2$ being the probability density for the appearance of the particle *a* at the nuclear radius R_N

Consider reaction: $a+X \rightarrow C^* \rightarrow b+Y$ \rightarrow C+ γ $J^{\pi} E_a \Gamma_a \Gamma_b \Gamma_{\gamma}$ Γ_{a} a + X $\Gamma_{\rm b}$ <u>Resonance parameters:</u> b+Y Resonance energy: $Er = E_x - Q$ □ excitation energy C

Resonant process

Partial widths:

 Γ_a : Probability of the formation of the compound nucleus C* from the entrance channel a+X

 Γ_{b} : Probability of the decay of the compound state C* to the exit channel b+Y

 Γ_{γ} : Probability of the γ decay of the compound state C* to its ground state

Spin, parity: J^{π}

Any exited state has a finite width TAIL ABOVE $\Gamma \sim h/\tau$ Q-VALUE high energy wing can extend ER above particle threshold **P-WDTH** γ cross section can be entirely dominated by contribution of sub-threshold state(s)

COMPOUND NUCLEUS C

Er

Example of sub-threshold resonant reaction:

 ${}^{13}C(\alpha,n){}^{16}O \rightarrow \text{main neutron source in AGB stars (1-3 M}_{\odot})$

 \rightarrow s-process nucleosynthesis \rightarrow 90 < A < 209



 $^{13}C(\alpha,n)^{16}O$

Cross section for the resonant reaction a + X → C → Y + b, via the formation of an excited state in the compound nucleus C:

 $\sigma_{\rm BW}(E) \sim \sigma_{\rm max} \times f_R(E) \times \Gamma_a \Gamma_b$

$$\sigma_{\rm BW}(E) = \pi \lambda^2 \frac{2J+1}{(2J_a+1)(2J_X+1)} (1+\delta_{aX}) \frac{\Gamma_a \Gamma_b}{(E-E_R)^2 + (\Gamma/2)^2}$$

where J_a and J_X are the total angular momentum of the nuclei a and X, and J that of the resonance in the compound nucleus; δ_{aX} is Kronecker's delta function

• The spin statistical factor

$$\omega = (1 + \delta_{aX}) \frac{2J + 1}{(2J_a + 1)(2J_X + 1)}$$
 takes into

account the number of available states (selection rules)

• Note that Γ_a and Γ_b are energy dependent $(P_l(E,R_N)...)$

Nuclear reaction cross-sections: Neutron induced-reactions

A(n,x)B with $x=\gamma$, p or α

The cross section is given by :

$$\sigma_n \approx \chi_n^2 \left| \left\langle B + x \left| H_{II} \right| C \right\rangle \left\langle C \left| H_I \right| A + n \right\rangle \right|^2 \approx \chi_n^2 \Gamma_n(E_n) \Gamma_x(Q + E_n)$$

For thermal energies Q>>E_n $\rightarrow \Gamma_x(Q+E_n) \approx \Gamma_x(Q) = \text{constant}$

$$\Gamma_{n}(E_{n}) \propto P_{ln}(E_{n})$$

for $l_{n}=0 \rightarrow P_{0}(E_{n}) \sim v_{n} \implies \sigma_{n}(E_{n}) \propto \frac{1}{v_{n}^{2}} v_{n} = \frac{1}{v_{n}}$ for non-resonant reaction

For s-wave neutrons (1=0) \Rightarrow centrifugal barrier $V_1 = 0$ and also coulomb barrier $V_c = 0$

 \rightarrow At low energies, the reactions are dominated by s-wave neutron capture \rightarrow Higher *l* neutron capture plays role only at higher energies (or if l=0 capture is suppressed)

Nuclear reaction cross-sections: Neutron induced reactions

Example:

⁷Li(n, γ)⁸Li



Thermonuclear reaction rates

Definition



with n_T : number density of target nuclei

I=*jA* : beam number current (number of particles per second hitting the target)

note: dn_T is number of target nuclei per cm².

Definition

Mix of (fully ionized) projectiles a and target nuclei X at a temperature T



For a given relative velocity v in volume V with projectile number density N_a

The number of reactions/s $R = \sigma N_a v N_x V$

so for reaction rate per second per cm³:

$$r_{ax} = N_a N_x \sigma(v) v$$

This is proportional to the number of a-X pairs in the volume. If projectile and target are identical, one has to divide by 2 to avoid double counting

$$r_{aX} = \frac{1}{1 + \delta_{aX}} N_a N_X \sigma(v) v$$

Thermonuclear Reaction Rates:

In stellar plasma:

velocity of particles varies over wide range

Reaction rate per particle pair:

$$<\sigma v>_{aX} = \int_{0}^{\infty} v\sigma(v)\phi(v)dv$$

 $\phi(v)$ velocity distribution

Definitions

Quiescent stellar burning: non-relativistic, non-degenerate gas in thermodynamic equilibrium at temperature T

Maxwell-Boltzmann distribution:

$$\phi(\mathbf{v})d\mathbf{v} = \left(\frac{\mu}{2\pi kT}\right)^{3/2} \exp\left(-\frac{\mu \mathbf{v}^2}{2kT}\right) 4\pi \mathbf{v}^2 d\mathbf{v}$$

- μ = reduced mass
- v = relative velocity

$$\frac{d\Psi}{dW} = \left(\frac{\mu v^2}{2kT}\right)^{1/2} \frac{1}{(kT)^{3/2}} \int_{0}^{\infty} \sigma(E) \exp\left(-\frac{E}{kT}\right) E dE$$

Thermonuclear Reaction Rates:

Definitions

Total reaction rate
$$R_{aX} = \frac{1}{1 + \delta_{aX}} N_a N_X \langle \sigma v \rangle_{aX}$$
 reactions cm⁻³ s⁻¹
 $N_i =$ number density

expressed in terms abundances

$$R_{aX} = \frac{1}{1 + \delta_{aX}} Y_X Y_a \rho^2 N_A^2 < \sigma v > \text{ reactions per s and cm}^3$$
$$\lambda = \frac{1}{1 + \delta_{aX}} Y_a \rho N_A < \sigma v > \text{ reactions per s and target nucleus}$$
this is usually referred to as the stellar reaction rate of a specific reaction N_A = Avogadro number

units of stellar reaction rate $N_A < \sigma v >$: usually cm³/mole/s

Lets assume the only reaction that involves nuclei X and Y is destruction (production) of X (Y) by X capturing the projectile a:

$$X + a \rightarrow Y$$

The reaction is a random process with const probability (as long as the conditions are unchanged) and therefore governed by the same laws as radioactive decay:

$$\frac{dn_X}{dt} = -n_X \lambda = -n_X Y_a \rho N_A < \sigma v >$$
$$\frac{dn_Y}{dt} = +n_X \lambda$$

consequently:

$$n_{X}(t) = n_{0X}e^{-\lambda t}$$

 $n_{Y}(t) = n_{0X}(1 - e^{-\lambda t})$



(of course half-life of A $T_{1/2} = \ln 2/\lambda$)

Consider the reaction $X+a \rightarrow Y$

<u>Reaction Q-value:</u> Energy generated (if >0) by a single reaction:

$$Q = c^2 \left(\sum_{\text{initial nuclei i}} m_i - \sum_{\text{final nuclei j}} m_j \right)$$

Energy generation: Energy generated per g and second by a reaction:

$$\varepsilon = \frac{rQ}{\rho} = Q \frac{1}{1 + \delta_{aX}} Y_X Y_a \rho N_A^2 < \sigma v >$$

Thermonuclear Reaction Rates: various reactions destroying a nucleus

Example: in the CNO cycle, ${}^{13}N$ can either capture a proton or β decay.

each destructive reaction i has a rate λ_i

Total lifetime

the total destruction rate for the nucleus is then

its total lifetime
$$\tau = \frac{1}{\lambda}$$

Branching

the reaction flow branching into reaction i, b_i is the fraction of destructive flow through reaction i. (or the fraction of nuclei destroyed via reaction i)

$$b_i = \frac{\lambda_i}{\sum_j \lambda_j}$$



 $\lambda = \sum \lambda_i$

The nucleosynthesis equations

- Evolution of the densities: system of coupled differential equations (solved numerically)
- \rightarrow Nuclear reaction network



$$\frac{d(N_{25}_{A1})}{dt} = N_{H}N_{24}_{Mg}\langle \sigma v \rangle_{24}_{Mg(p,\gamma)} + N_{4}_{He}N_{22}_{Mg}\langle \sigma v \rangle_{22}_{Mg(\alpha,p)} \\ + N_{25}_{Si}\lambda_{25}_{Si(\beta^{+}\nu)} + N_{26}_{Si}\lambda_{26}_{Si(\gamma,p)} + \dots \\ - N_{H}N_{25}_{A1}\langle \sigma v \rangle_{25}_{A1(p,\gamma)} - N_{4}_{He}N_{25}_{A1}\langle \sigma v \rangle_{25}_{A1(\alpha,p)} \\ - N_{25}_{A1}\lambda_{25}_{A1(\beta^{+}\nu)} - N_{25}_{A1}\lambda_{25}_{A1(\gamma,p)} - \dots \end{cases}$$
 destruction

• Nuclear energy production rate:

$$\varepsilon = \sum_{ijk} \frac{N_i N_j}{1 + \delta_{ij}} \langle \sigma \mathbf{v} \rangle_{ijk} Q_{ijk}$$

where Q_{ijk} is the Q-value of the reaction $i+j \rightarrow k$